### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Günter KLEIN et al

Serial No.: This application is a National Phase Application of PCT/EP2004/007636 filed 10 July

2004.

Filed: herewith

For: The

Themally Hardening, Transparent Coating Substance, Method for the Production Thereof

and Its Use

Group Art Unit: Unknown

Examiner: Unknown

I hereby certify that the attached correspondence is being submited by EFS-Web as a eFiled Application

Box PCT, Commissioner for Patents, P O BOX 1450, Alexandria, Virginia 22313-1450, on the date shown below

January 24, 2006

Date

Mariorie Ellis

Box PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C.F.R. §1 56.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C F.R. 1 97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

X Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

## **COPIES PROVIDED:**

X Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, U.S. patent application publications, copies of U.S. applications that were filed on or after June 30, 2003, and copies of U.S. applications that were filed before June 30, 2003 that are available in the Image File Wrapper System

Information Disclosure Statem Page 2	ent
	0, this application relies on the earlier filing date of prior application Serial led on []. The following references were submitted to ice on this prior application and are therefore not required to be ation:
	7 C F R §1.98(c), a copy of only [] is being submitted with this statement, and is cumulative of the following patents or publications 149:
FOREIGN LANGUAGE DOCU	<u>IMENTS</u>
There are no foreign l	language documents listed on Form PTO-1449
English language equiv	glish language references were cited in the specification and/or ISR The valents/translations for these references are being submitted in in addition to the non-English references.  Equivalents 6,939,601 5,326,820
English language abs	tract for the following non-English references are enclosed.
	translations of the foreign language patents, publications or information or lily available, except for those listed above.
English language and Office, in satisfaction of	an English language version of a search report listing information not in the indicating the degree of relevancy found by the European Patent f the requirement (under 37 C.F.R. §1 98(a)(3)) for a concise vance of non-English information
included as presently u	of the relevance of the following listed non-English language information is understood by the individual designated in 37 C F R. §1 56(c) most the content of the information as set forth below:
A concise explanation set forth in the above-i	of the relevance of the following listed non-English language information is dentified specification:
CERTIFICATION:	
months of the filing date international application	osure Statement transmitted herewith is being filed <b>within</b> three (3) e of the application or date of entry into the national stage of an or before the mailing date of a first Office Action on the merits, a last. 37 C.F.R §1.97(b). Accordingly, it is believed that no e
of the filing date of the a international application whichever event occurre	osure Statement transmitted herewith is being filed <b>after</b> three (3) months application or the date of entry into the national stage of an or after the mailing date of the first Office Action on the merits, ed last but <b>before</b> the mailing date of either a final action under 37 ce of Allowance under 37 C.F.R. §1.311, whichever occurs first. ertify that:
cited in a communi	information contained in the Information Disclosure Statement was ication from a foreign patent office in a counterpart foreign application e (3) months prior to the filing of the statement; 37 C.F.R. §1 97(e)(1);

Information Disclosure Statement Page 3				
	in a communication from a fore to my knowledge as the person inquiry, was known to any indivi	ntained in the Information Disclosure Statement was cited ign patent office in a counterpart foreign application or, signing this certification after making reasonable dual designated in §1.56(c) more than three (3) statement; 37 C F R. §1.97(e)(2); <b>OR</b>		
	an information disclosure stater	ay the fee set forth in 37 C.F.R. §1.17(p) for submission of ment under 37 C.F.R. §1 97(c). Please charge the BASF Corporation <b>Deposit Account No. 23-3425</b> . osed.		
The Information Disclosure Statement transmitted herewith is being filed <b>After a Final Action</b> under 37 C.F.R. §1.113, or <b>After a Notice of Allowance</b> under 37 C.F.R. §1.311, whichever occurs first, but <b>Before Payment of the Issue Fee</b> Accordingly, I hereby certify that:				
	cited in a communication from a	contained in the Information Disclosure Statement was a foreign patent office in a counterpart foreign (3) months prior to the filing of the statement; 37		
	in a communication from a forei to my knowledge as the person inquiry, was known to any indivi-	ained in the Information Disclosure Statement was cited gn patent office in a counterpart foreign application or, signing this certification after making reasonable dual designated in §1.56(c) more than three (3) statement; 37 C.F.R. §1 97(e)(2); AND		
	CFR §1.97(d)(2)(ii) Please cl	onsideration of this Information Disclosure Statement; 37 harge the petition fee of <b>\$180.00</b> to BASF Corporation A duplicate of this paper is enclosed		
The Commissioner is authorized to charge BASF Corporation <b>Deposit Account No. 23-3425</b> for any additional fees due hereunder.				
Reg. No.: 33,772		anne A Sabourin		
		SIGNATURE OF ATTORNEY		
		Anne Gerry Sabourin Type or print name of attorney		
Tel No.: ( 248 ) 948-2021 BAS		BASE Corporation		
		26701 Telegraph Road		
		P.O. Address		
		Southfield, Michigan 48034-2442		
Customer No. 26922 Date: January 24, 2006				

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Günter KLEIN et al

Serial No.: This application is a National Phase Application of PCT/EP2004/007636 filed 10. July

2004

Filed: herewith

For: Themally Hardening, Transparent Coating

Substance, Method for the Production Thereof

and Its Use

Group Art Unit: Unknown

Examiner: Unknown

I hereby certify that the attached correspondence is being submited by EFS-Web as a eFiled Application

Box PCT, Commissioner for Patents, P.O BOX 1450, Alexandria, Virginia 22313-1450, on the date shown below.

January 24, 2006

Date

Mariorie Ellis

Box PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

#### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicant(s) submit(s) herewith patents, publications, or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose in accordance with 37 C F.R. §1.56

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

X Submitted herewith is Form PTO-1449 (Modified) listing patents, publications, or other information for consideration by the Office.

## **COPIES PROVIDED:**

X Legible copies of all items listed on Form PTO-1449 accompany this information statement, except Copies of U.S. patents, U.S. patent application publications, copies of U.S. applications that were filed on or after June 30, 2003, and copies of U.S. applications that were filed before June 30, 2003 that are available in the Image File Wrapper System.

Page 2	•	
Under 35 U.S.C. §120, this application relies on the ear No. [], filed on []. The following and/or cited by the Office on this prior application and are provided in this application:	ig references were submi	itted to
In accordance with 37 C.F.R. §1.98(c), a copy of only [_Information Disclosure Statement, and is cumulative of the listed on Form PTO-1449:		
FOREIGN LANGUAGE DOCUMENTS		
There are no foreign language documents listed on Foreign	m PTO-1449.	
X The following non-English language references were cit English language equivalents/translations for these refere substitution for and/or in addition to the non-English reference Cited Art Equivalents WO02/44237 6,939,601 WO92/02590 5,326,820	ences are being submitted	
English language abstract for the following non-English	references are enclosed	
No English language translations of the foreign language parts thereof are readily available, except for those listed		information or
X Submitted herewith is an English language version of a see English language and indicating the degree of relevancy Office, in satisfaction of the requirement (under 37 C.F.R. explanation of the relevance of non-English information.	found by the European F	atent
A concise explanation of the relevance of the following lighter included as presently understood by the individual design knowledgeable about the content of the information as see	ated in 37 C.F.R. §1.56(d	
A concise explanation of the relevance of the following lisset forth in the above-identified specification:	sted non-English languag	e information is
CERTIFICATION:		
X The Information Disclosure Statement transmitted herew months of the filing date of the application or date of entry international application or before the mailing date of a firs whichever event occurs last. 37 C F.R. §1.97(b). According certification or fee is due.	into the national stage of t Office Action on the me	<sup>:</sup> an rits,
The Information Disclosure Statement transmitted herewing of the filing date of the application or the date of entry into international application or after the mailing date of the first whichever event occurred last but <b>before</b> the mailing date C.F.R. §1 113 or a Notice of Allowance under 37 C.F.R. §1 Accordingly, I hereby certify that:	the national stage of an t Office Action on the me e of either a final action ur	rits, nder 37
Each statement of information contained in the Information cited in a communication from a foreign patent office not more than three (3) months prior to the filing of the OR	in a counterpart foreign a	pplication

Information D Page 3	isclosure Statement	
	in a communication from a for to my knowledge as the perso inquiry, was known to any indi	ontained in the Information Disclosure Statement was cited eign patent office in a counterpart foreign application or, n signing this certification after making reasonable vidual designated in §1.56(c) more than three (3) is statement; 37 C F R §1 97(e)(2); <b>OR</b>
	an information disclosure state	pay the fee set forth in 37 C.F.R. §1.17(p) for submission of the
unde whicl	r 37 C.F.R. §1.113, or <b>After a N</b>	t transmitted herewith is being filed <b>After a Final Action lotice of Allowance</b> under 37 C F.R. §1.311, <b>ayment of the Issue Fee</b> . Accordingly, I hereby
	cited in a communication from	contained in the Information Disclosure Statement was a foreign patent office in a counterpart foreign (3) months prior to the filing of the statement; 37
	in a communication from a fore to my knowledge as the persor inquiry, was known to any indiv	tained in the Information Disclosure Statement was cited eign patent office in a counterpart foreign application or, a signing this certification after making reasonable idual designated in §1.56(c) more than three (3) statement; 37 C F.R. §1 97(e)(2); AND
	C.F.R. §1.97(d)(2)(ii). Please of	consideration of this Information Disclosure Statement; 37 charge the petition fee of <b>\$180.00</b> to BASF Corporation A duplicate of this paper is enclosed.
The Commissional f	oner is authorized to charge BAS ees due hereunder	F Corporation Deposit Account No. 23-3425 for
Reg. No.: 33	3,772	SIGNATURE OF ATTORNEY
		Anne Gerry Sabourin
	•	Type or print name of attorney
Tel. No.: ( 24	l8 ) 948-2021	BASF Corporation
		26701 Telegraph Road
		P.O. Address
Customer No Date: January	•	Southfield, Michigan 48034-2442